

Working Together for Usable Climate Change Impacts Models:

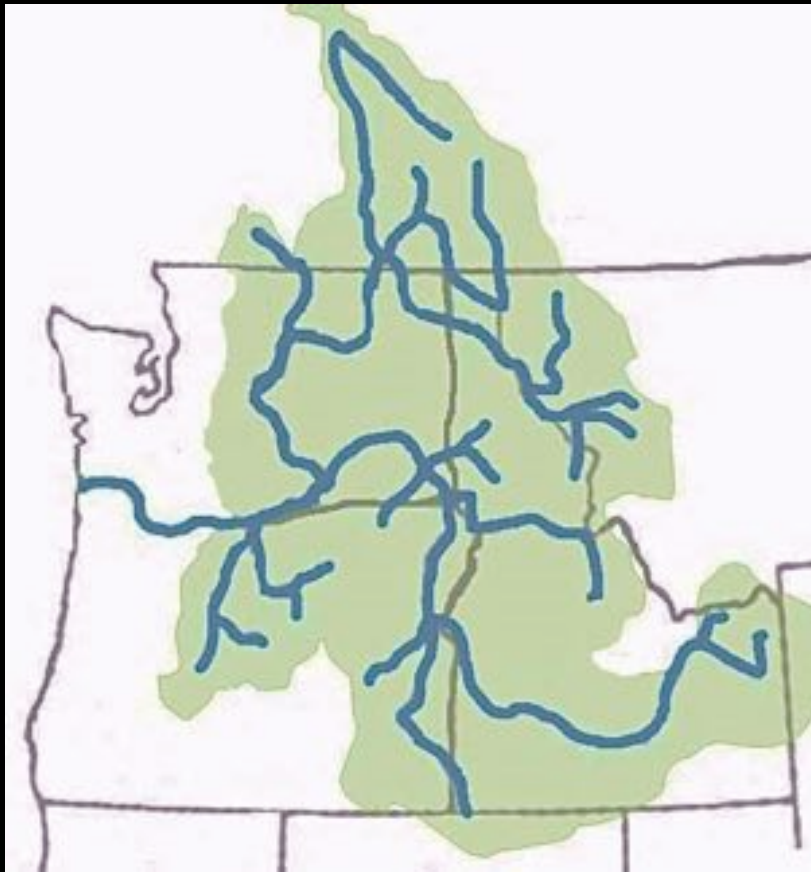
*Thoughts on Developing Metrics to Assess
Interdisciplinary Environmental Research Efforts*

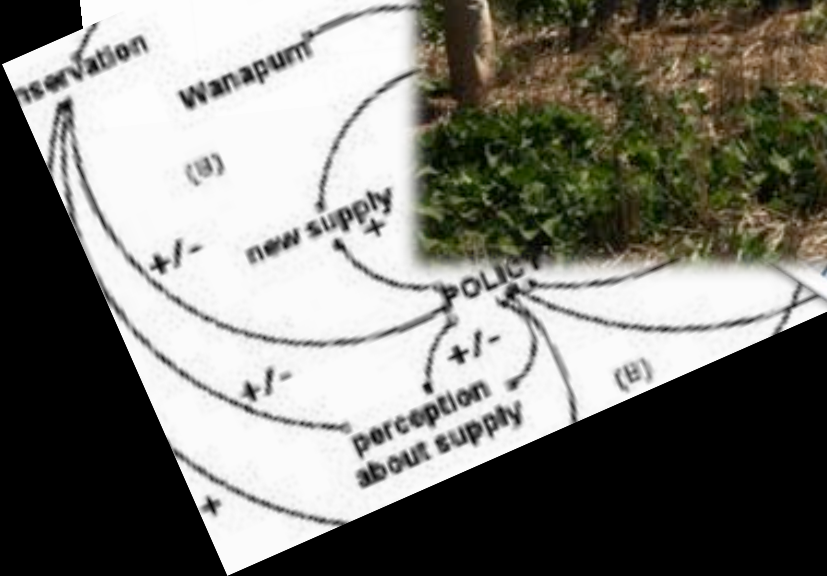
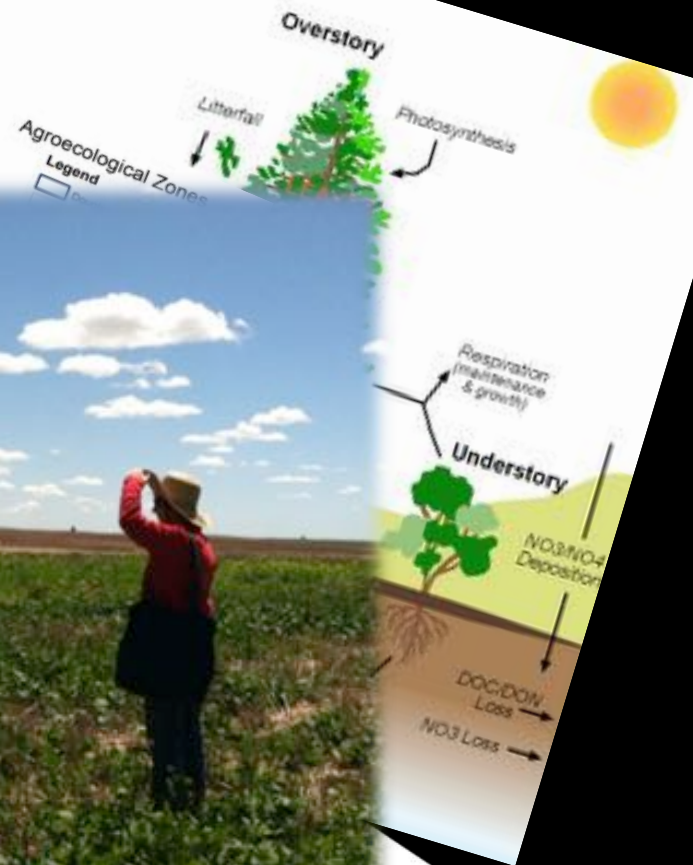
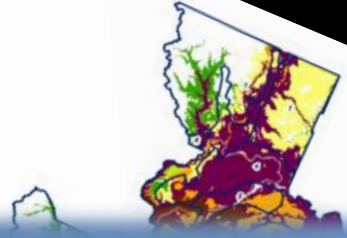
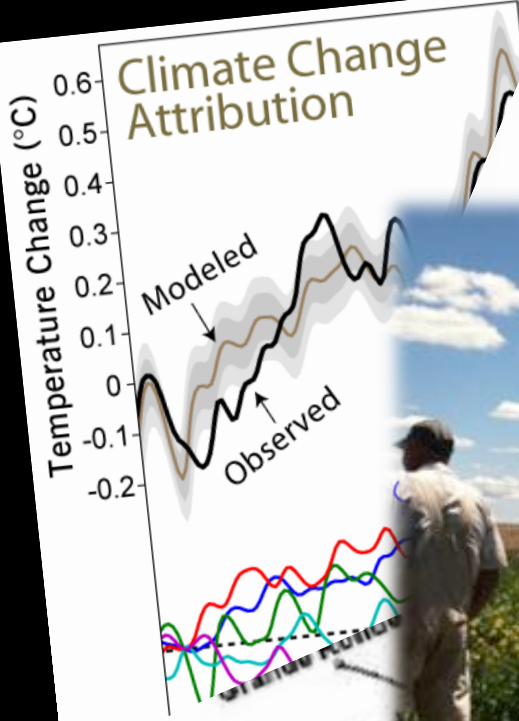


Liz Allen, PhD
Innovations in Collaborative Modeling
June 2016

Columbia River Basin

Climate change will affect agriculture, forests, air and water quality, water supply, storm frequency





Comparative assessment of 3 teams



Collaborative
system
dynamics
modeling;
Basin-specific
approaches



Stakeholders
help define
researchable
questions;
Issue-based
workshops



Stakeholder
input on
regional
scenarios;
Separate
objectives

Continuum of approaches to stakeholder engagement

Process-oriented

Product-oriented

Who are the key stakeholders?

What is *USABLE* science?

What should the role of universities be?



The “gap” between science & practice

STUDY PURPOSE:



Document evolving perceptions within interdisciplinary teams
in order to

Determine which approaches contribute to usable information for regional decision-makers

RESEARCH METHODS

- Focus groups at workshops
- Detailed observational notes
- Multiple choice surveys
- Semi-structured interviews



INTERVIEWS WITH RESEARCHERS

Summer 2011-Winter 2015

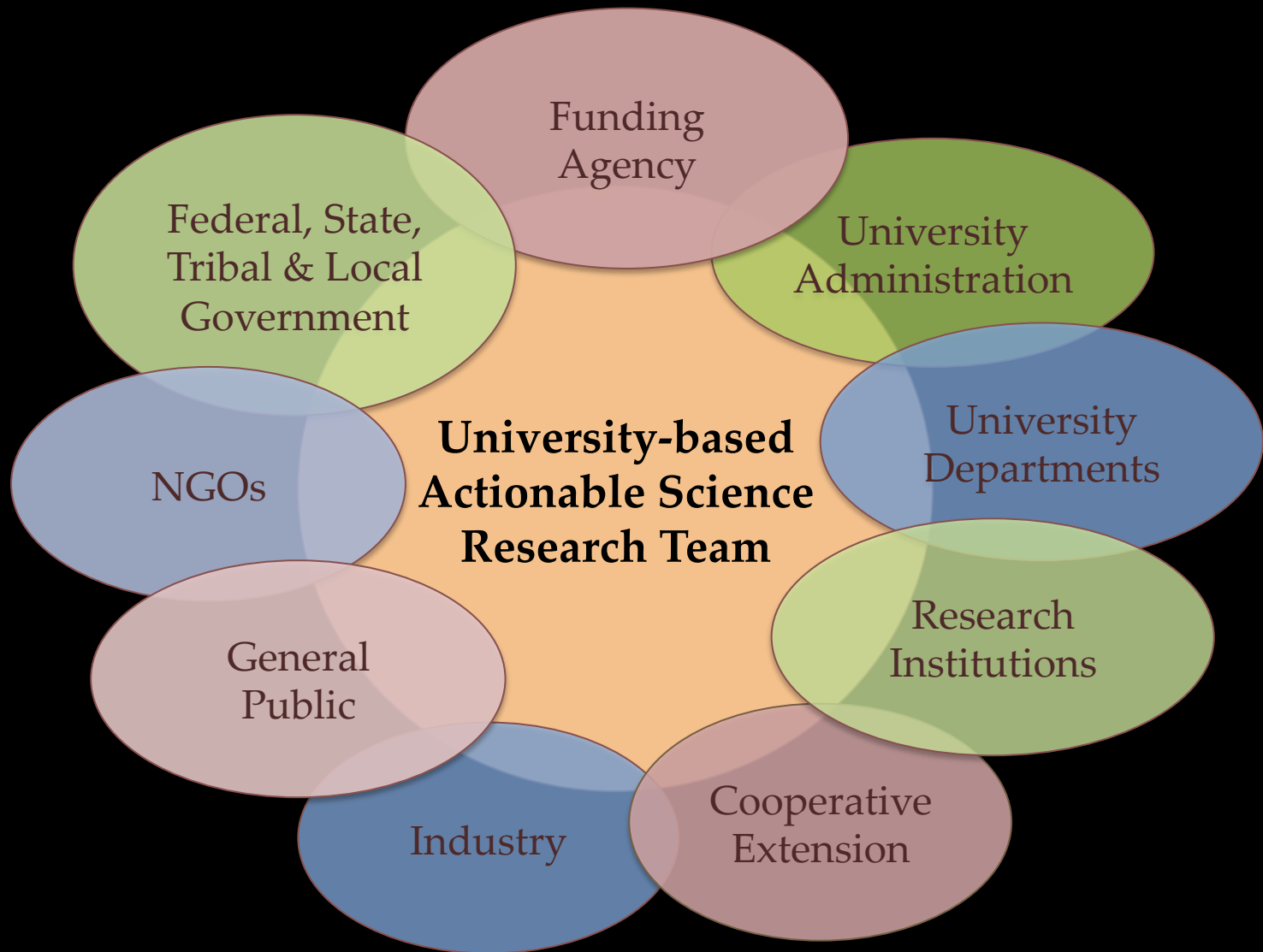
WISDM	BioEarth	REACCH	Total Individuals
	11		11
	4		4
4			4
	1		1
1			1
		4	4
9 co-PIs	20 co-PIs	8 co-PIs	25 co-PIs

FOUNDATIONAL CONCEPTS:

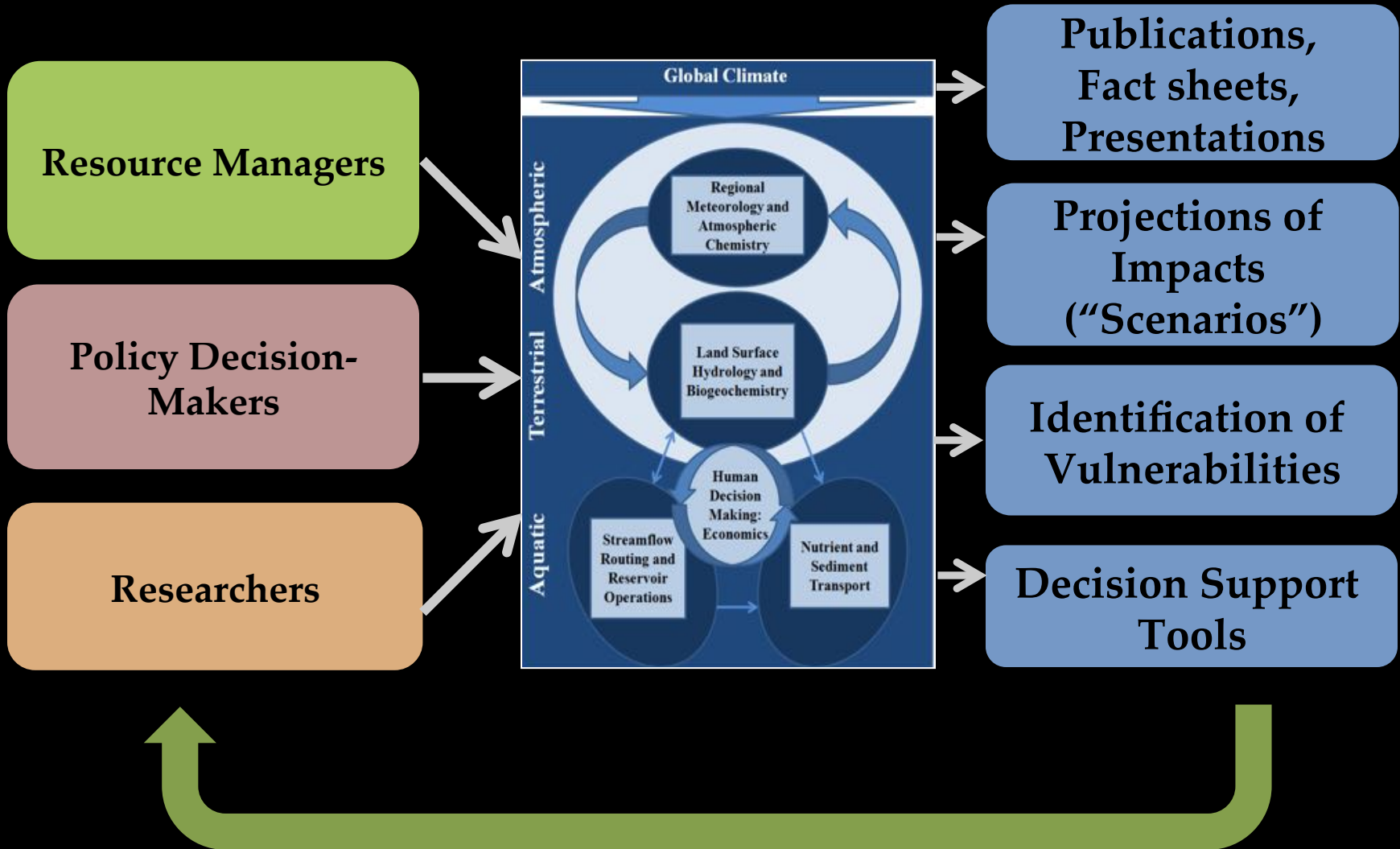
- In order to manage adaptively, decision-makers must be engaged in research
- Boundary organizations negotiate between cultures and norms
- Learning organizations create, retain and transfer knowledge



BOUNDARY SPANNING

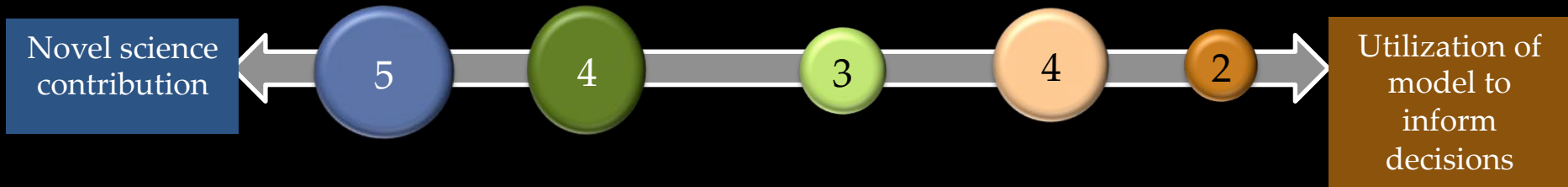


MODELS AS BOUNDARY OBJECTS

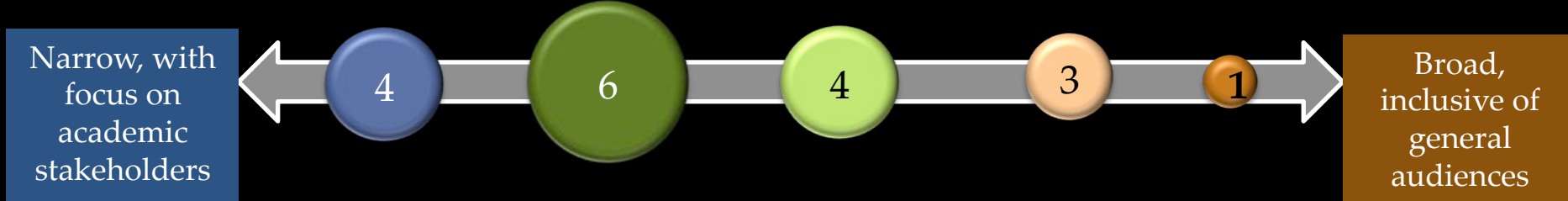


INTERVIEW RESULTS: PERCEPTIONS AMONG BIOEARTH RESEARCHERS (2011)

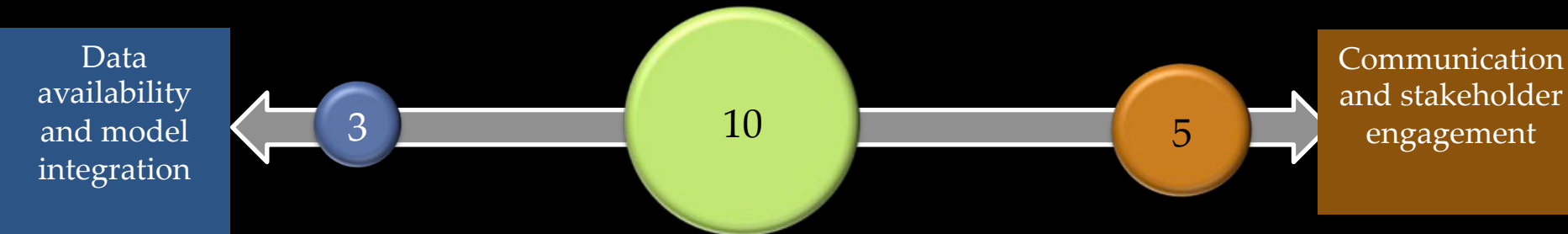
a. What defines a successful outcome for the research project?



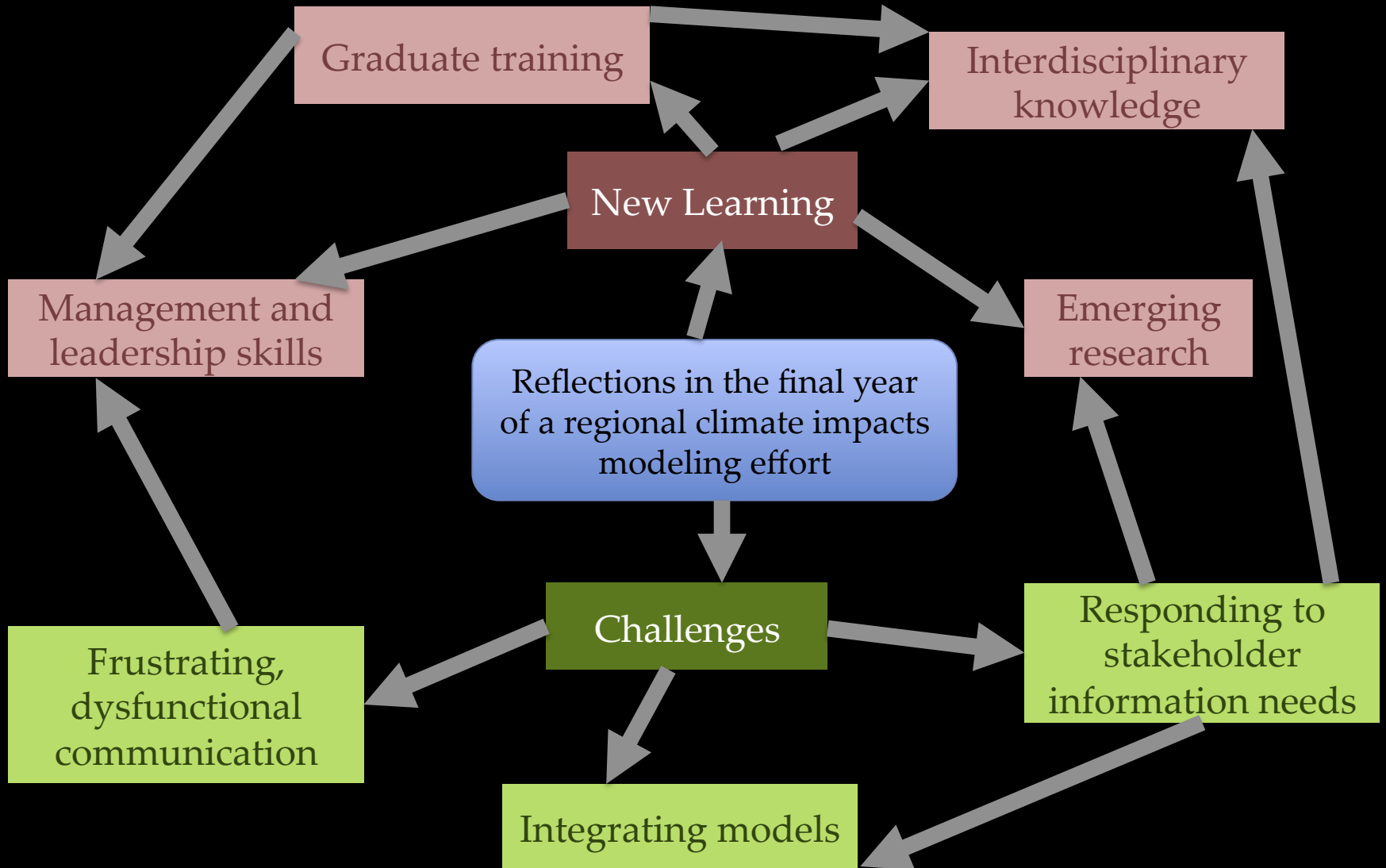
b. Which groups constitute stakeholders?



c. What are the project's primary challenges?



INTERVIEW RESULTS: BIOEARTH TEAM COLLECTIVE MENTAL MODEL (2015)



LESSONS ABOUT STAKEHOLDER ENGAGEMENT

Roles for different groups of stakeholders

- Academic, government & industry are critical
- Varying opinions about NGOs

Expectations for how stakeholders will interact with models

- Mixed at outset
- Increasing emphasis on learning from partnerships

Most critical times for stakeholder engagement

- Early-phase increasingly valued
- Consistent mid- and final-year engagement

5TH YEAR OUTCOMES ACROSS PROJECTS



Design of
Engagement

Iterative process
+ small teams =
stakeholder
trust and buy-in

Diverse
stakeholder input
= lots of learning,
limited
actionability

Researcher-
driven +
extension
traditions =
mixed
outcomes

Team
Management

Addressing
communication
barriers could
help research
integration

Tools to track
progress and
accountability
could improve
productivity

Clear roles and
strategic
communication
could build
trust

LESSONS ABOUT MODELS AS BOUNDARY OBJECTS

- Be flexible in responding to stakeholder priorities
- Navigate issues of domain, scale & key processes before engaging
- Understand decision-making contexts



“SMART” METRICS FOR RESEARCH PROCESS EVALUATION

Specific

Measurable

Attainable

Realistic

Time-bound

RECOMMENDATIONS FOR STAKEHOLDER ENGAGEMENT EVALUATION METRICS

1. Diversity of input
2. Incorporation of stakeholder perspectives
3. Production of informational tools and resources
4. Learning among researchers
5. Learning among stakeholders and incorporation of science in decisions



ACTIONABLE SCIENCE DEMANDS EFFECTIVE BOUNDARY SPANNING



1. Invest in long term partnerships



2. Communicate about team vision & research goals



3. Integrate stakeholder input



4. Reward extension & engagement activities

THANK YOU!

Web resources:

<http://bioearth.wsu.edu/>

<http://csanr.wsu.edu>

<http://agclimate.net>

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SUPPLEMENTARY SLIDES


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CORE TAKE AWAY LESSON:

Input from decision makers is key to informing the **assumptions** we build into models, **scenarios** we test and **spatial scales** and **time horizons** at which we provide outputs.

ADAPTATION OF BLOOM'S TAXONOMY WITH EXAMPLES RELATED TO STAKEHOLDER ENGAGEMENT

 Complexity	Levels of Intellectual Behavior	Examples of Outcomes
	Knowledge	<i>Name potential stakeholders, identify project goals</i>
	Comprehension	<i>Articulate roles for stakeholders in research</i>
	Application	<i>Formulate questions for stakeholders</i>
	Analysis	<i>Consider stakeholder recommendations, explore opportunities to inform decisions</i>
	Evaluation	<i>Assess engagement process</i>
	Synthesis	<i>Develop tools, evolve new research directions</i>

THEORY U: ORGANIZATIONAL LEARNING

1. Co-Initiating

Define challenge
Build common intent
Gather information

2. Co-Sensing

Convene a team
Observe
Explore

3. Presencing

Connect to source of inspiration
Connect to deeper purpose
See the whole

4. Co-creating

Test solutions
Prototype new ideas

5. Co-evolving

Implement
Scale-up

Slow
down

Accelerate

USEFUL-TO-USABLE PARADIGM SHIFT

Paradigm 1:

Predict, Then Act

Best estimate of future, management plans & policies designed accordingly.

Asks, what is most likely to happen in the future?

Places unrealistic demands on modeling and climate science

Paradigm 2:

Seek Robust Solutions

Vulnerabilities identified, make decisions that perform well across a range of futures.

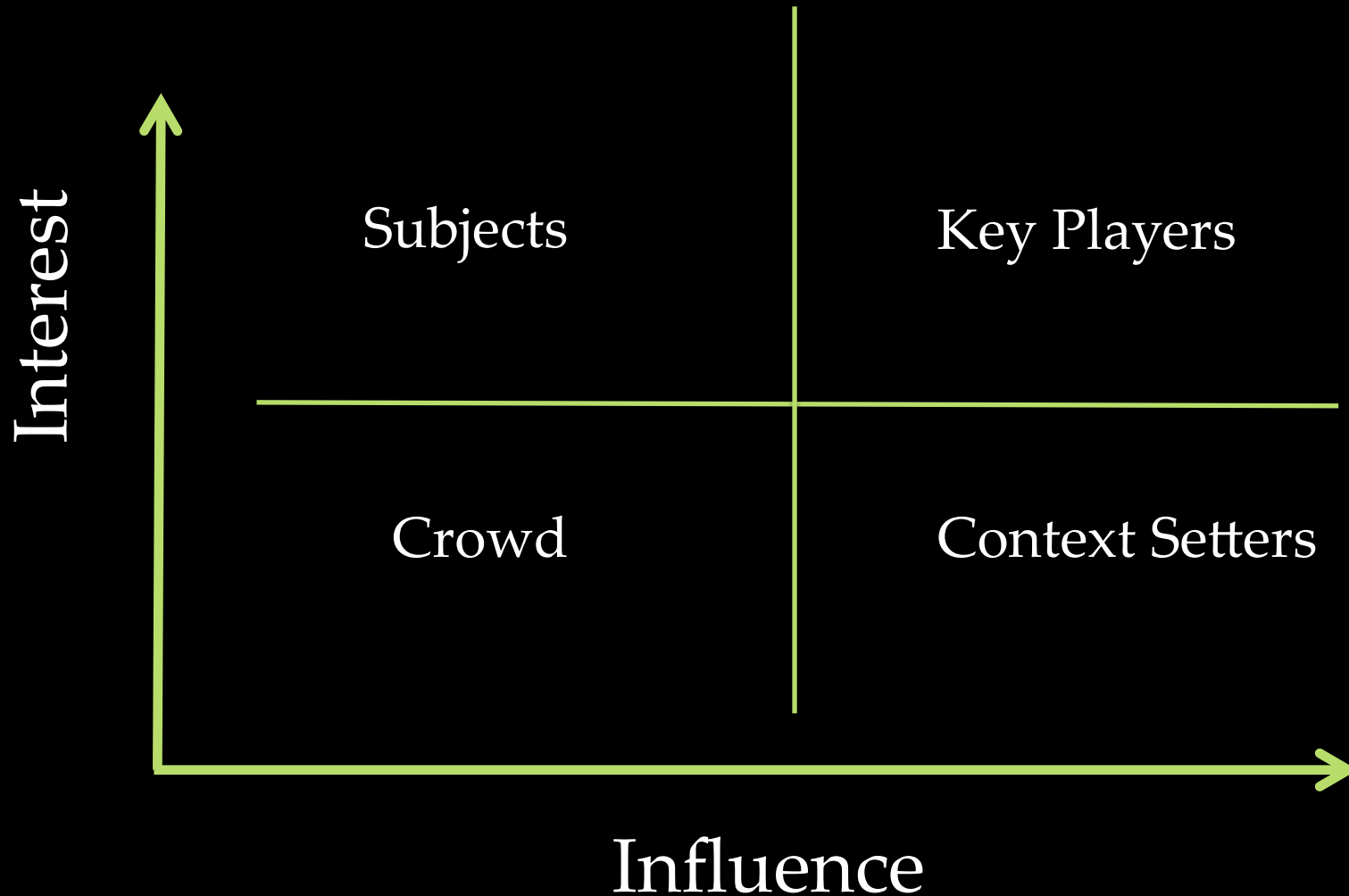
Asks, what are possible unintended consequences of decisions?

Accounts for complexity and uncertainty in earth systems & human behavior

LESSONS ABOUT TEAM MANAGEMENT: ANIMAL MATRIX TYPES

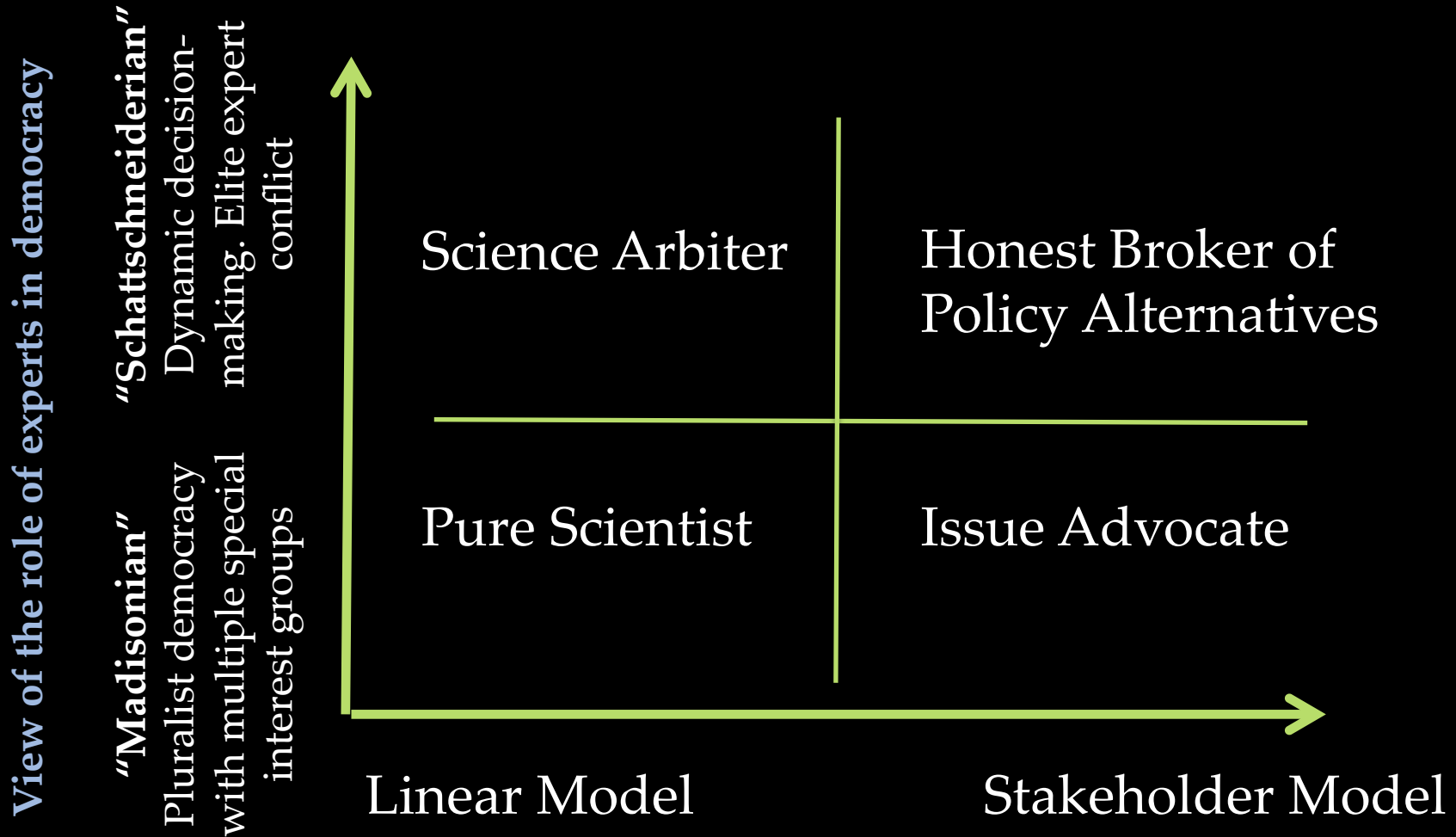


CLASSIFICATION OF STAKEHOLDERS BASED ON INTEREST AND INFLUENCE



(Reed et al., 2009)

CLASSIFICATION OF ROLES FOR SCIENTISTS IN DECISION-MAKING



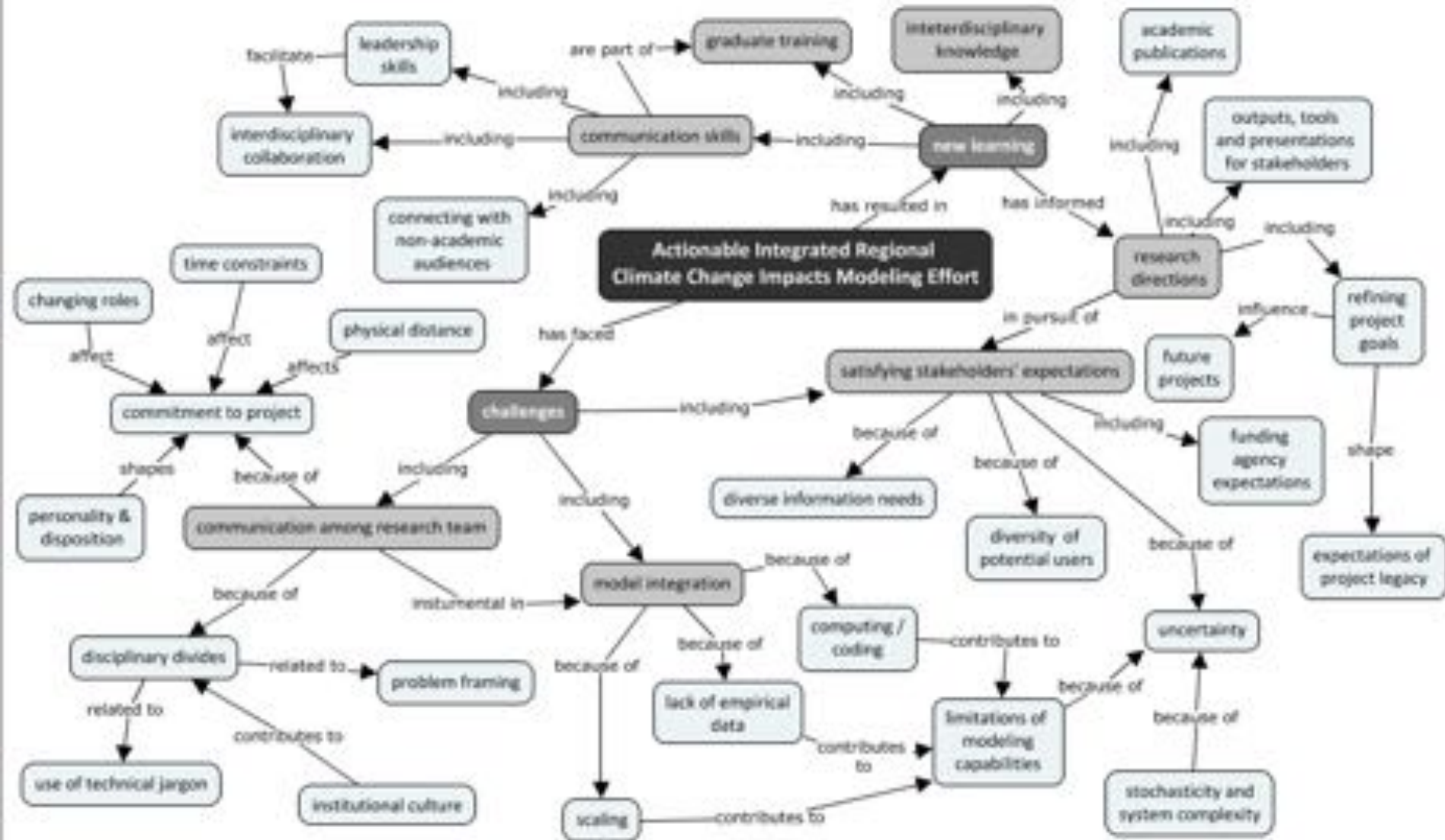
View of science in society

(Pielke, 2007)

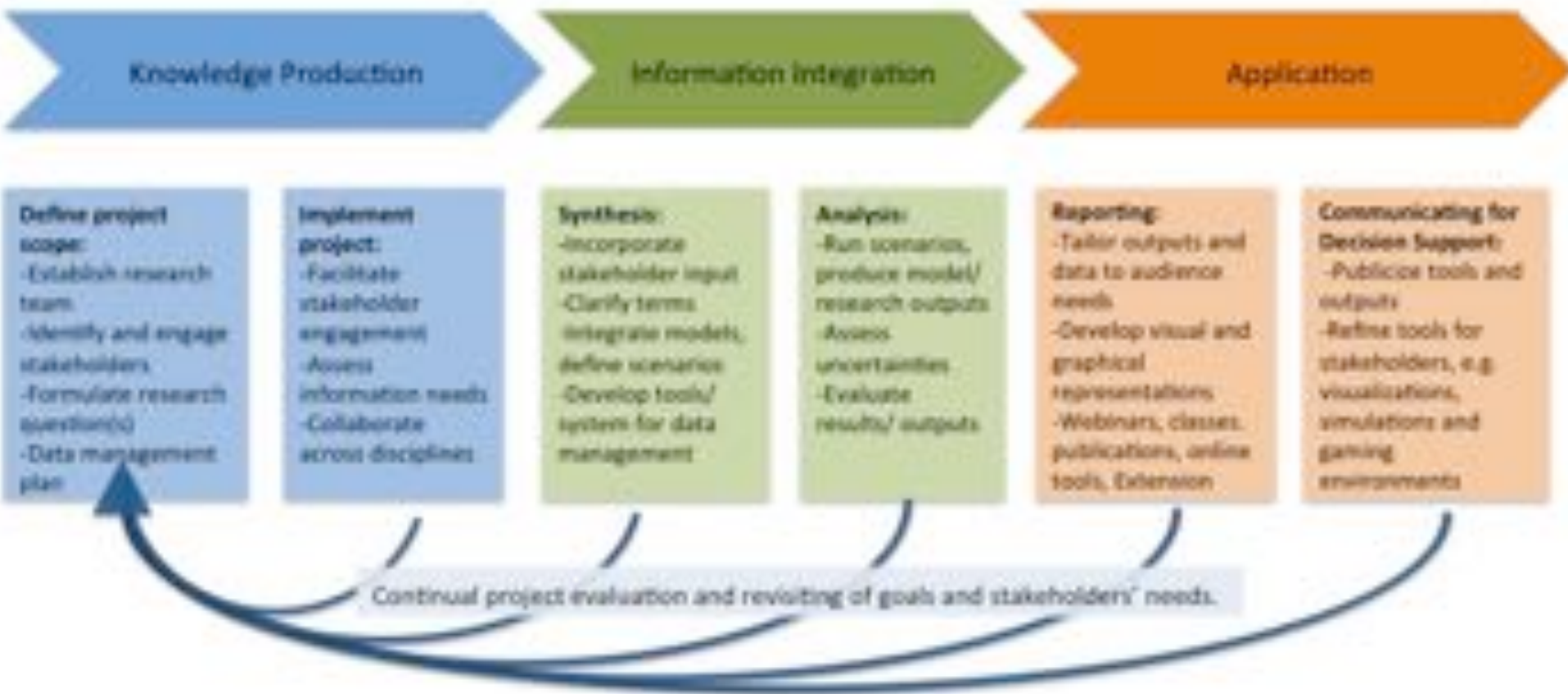
OVERVIEW OF BIOEARTH'S ISSUE-BASED WORKSHOPS

Topic	Date	Location
Carbon and Nitrogen Management	February 2013	Seattle
Water Supply	February 2013	Seattle
Rangeland Management	February 2014	Richland
Atmospheric Issues	February 2014	Seattle
Forest Management	June 2014	Olympia
Water Quality	March 2015	Vancouver

BIOEARTH RESEARCHERS' COLLECTIVE MENTAL MODEL (2015)



DEFINING A USABLE CLIMATE SCIENCE VALUE CHAIN



FUTURE RESEARCH DIRECTIONS



- Design and evaluate educational tools for stakeholders
- Investigate impacts of training researchers in interdisciplinary team management
- Increase understanding of specific decisions stakeholders make and how climate impacts models might inform them
- Assess opportunities incentivize and support stakeholder engagement